

# 1 Earthquake-resistant CLT buildings stiffened with vertical steel ties

2 Stefano Pacchioli<sup>(1)</sup> · Andrea Polastri<sup>(2)</sup> · Davide Trutalli<sup>(3)</sup> · Luca Pozza<sup>(1)</sup>

3 <sup>(1)</sup> Department of Civil, Chemical, Environmental and Materials Engineering, University of Bologna, viale  
4 Risorgimento 2 - Bologna, 40136, Italy. E-mail: stefano.pacchioli2@unibo.it; luca.pozza2@unibo.it

5 <sup>(2)</sup> Institute of Bioeconomy of the Italian Research Council (CNR IBE), via Biasi 75 - San Michele all'Adige (TN),  
6 38010, Italy. E-mail: andrea.polastri@ibe.cnr.it

7 <sup>(3)</sup> Department of Civil, Environmental and Architectural Engineering, University of Padova, via Marzolo 9 -  
8 Padova, 35131, Italy. E-mail: davide.trutalli@dicea.unipd.it

9  
10  
11 **Abstract** The interest in multi-storey CLT buildings in seismic areas is leading to the development of new  
12 strategies to increase the lateral stiffness of shear walls and to resist high tensile forces due to rocking. Both  
13 these purposes can be achieved with vertical steel ties placed at each shear-wall end, to transfer directly  
14 tensile forces from each storey to foundation. Three technologies are proposed for transferring forces from the  
15 CLT panels to the ties: the use of nailed plates, of the screwed connection X-RAD, or directly by contact with  
16 a thick plate at the top of each storey wall. The dynamic behaviour of CLT shear walls, representing the bracing  
17 system of a building, anchored with the aforementioned technologies has been investigated by means of  
18 dynamic analyses and a comparison with the use of common nailed plates or screwed connections without  
19 ties is presented. Results, varying the number of storeys and the seismic mass, show that the proposed  
20 technology is an effective strategy to increase the feasibility of multi-storey CLT buildings. Moreover, the  
21 substantial increase of the lateral strength makes possible to decrease the ductility demand, reducing lateral  
22 displacements and damages.

23  
24 **Keywords** Cross-Laminated Timber (CLT), seismic design, steel ties, tall timber buildings, timber structures

## 25 1. Introduction

26 Wood-based engineered products like Cross-Laminated Timber (CLT) are characterized by high in-  
27 plane strength and stiffness of the timber panel conferred by the cross-lamination of solid-wood  
28 boards [1], which give the multi-storey structure good resistance to vertical and lateral loads [2].  
29 A key role in the mechanical behaviour of CLT structures subjected to lateral loads (wind or  
30 earthquake) is assumed by connections, generally hold-downs and angle brackets, which are  
31 assigned the function of connecting the panels together and to concrete foundation. These nailed  
32 brackets were originally developed for the use in light-frame systems and were not originally  
33 conceived to be particularly strong and ductile [3]. The adoption of these connections in CLT  
34 structures has required to increase thickness, steel grade, number of nails and to add new stiffeners  
35 to reduce local deformations. Nevertheless, it has been demonstrated that stiffness and load-bearing  
36 capacity of such connections may not be sufficient to realize CLT buildings taller than five or six  
37 storeys in high-seismicity areas, due to the very high tensile forces at hold-downs and the excessive  
38 lateral flexibility of the structure [4,5]. The rocking contribution takes on great importance with

39 increasing seismic action, height and slenderness of the shear walls. Finally, the increasing  
40 architectural needs for internal free spaces are leading to optimize the number of shear walls in the  
41 building, increasing further the strength demand to connections.

42 Various strategies have therefore been developed for slender multi-storey CLT buildings in high-  
43 seismicity areas [6,7]:

- 44 1. Hybrid timber-concrete [8] or timber-steel systems [9,10];
- 45 2. Use of post-tensioning bars, to reduce tensile forces at connections and assuring recentering  
46 of shear walls [11–16];
- 47 3. Rocking coupled shear walls with vertical joints [17,18];
- 48 4. Reduction of inertial forces using connections with high ductility and dissipative capacity,  
49 employing the post-elastic hysteretic behaviour of steel or the friction to dissipate energy  
50 during a strong earthquake, preserving the structure from damages [19–25].

51 All these strategies require the adoption of special technologies, innovative connections or the  
52 coupling of different materials, and the consequent development of new design methodologies,  
53 normally not implemented by regulations.

54 In this work, an original earthquake-resistant system based on the use of vertical steel ties as  
55 alternative or in addition to traditional nailed plates or screwed connections is analysed. According  
56 to this technology, high-strength vertical CLT cantilevers can be realized to brace the structure,  
57 optimizing the amount of connections in the building, without the use of prestress, special  
58 connections or hybrid structures.

59 A technology based on the use of strong and stiff steel ties may reduce the dissipative capacity of  
60 the structure, compared to the use of nailed plates or the dissipative connections mentioned above.  
61 For this reason, a non-dissipative seismic design of the wall systems has been followed in the  
62 calculations presented in the following Sections, adopting a behaviour factor  $q$  equal to 1.5 according  
63 to [26]. It is worth noting anyway that this choice does not penalize particularly the design of the  
64 structure, as current regulations limit significantly the behaviour factor associated to CLT buildings.  
65 For example, current European Seismic Code gives a maximum value equal to 2 [26], and current  
66 Italian Code for Constructions equal to 2.5 [27]. The design with a behaviour factor equal to 1.5, i.e.,  
67 in accordance with low-dissipative structural behaviour concept [26], leads to a significant increase  
68 of the lateral stiffness of the structure: damages after an earthquake are limited and the structure is  
69 less flexible also for wind action, with a clear benefit also in terms of reduced discomfort for wind-  
70 induced vibrations in high-rise buildings [28].

## 71 **2. Description of the technology**

72 In low- and mid-rise CLT structures, tensile and shear forces, which are generated at each storey  
73 level from lateral loads, are generally resisted respectively by hold-downs and angle brackets.  
74 Alternative connections can be used, suitably designed to optimize one or more targets: strength,

75 ductility, dissipative capacity. Independently from the type of connection, they are attributed both to  
76 resist the abovementioned forces and to limit the lateral displacements of the structure. As the  
77 number of storeys of the building increases, there is an increase in tensile forces at connections  
78 especially for slender shear walls. As already mentioned, the rocking contribution to lateral  
79 displacements takes on increasingly importance as the height of the building grows, with a  
80 consequent increase in the deformability of the structure.

81 To limit the lateral displacements and to withstand the high tensile forces in multi-storey CLT  
82 buildings, connections with high stiffness and strength are needed. Traditional hold-downs,  
83 composed of a steel plate and many nails or screws, may not be enough. For this reason, steel ties,  
84 which may consist of steel plates, bars or profiles have been analysed, to work in addition to or in  
85 place of traditional hold-downs or other connections with the same purpose.

86 In detail, three original connection systems are proposed to resist the tensile forces due to rocking:

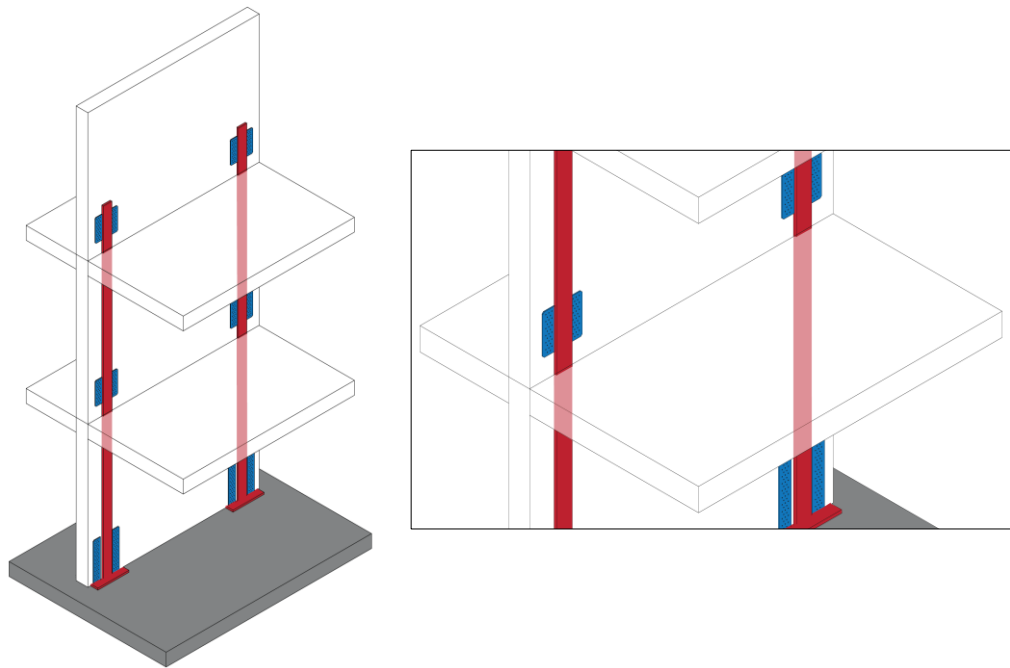
- 87 a) steel ties coupled with nailed plates;
- 88 b) steel ties coupled with the screwed connection X-RAD [29];
- 89 c) steel ties only.

90 The proposed systems are conceived to withstand high seismic tensile forces that arise in multi-  
91 storey CLT buildings braced with a limited number of shear walls, limiting rocking, increasing the  
92 lateral strength and stiffness of the structure and therefore reducing inter-storey drifts and damages  
93 to structural and non-structural components, permitting to increase the height of the building, also in  
94 high-seismicity areas. The following subsections present the concept of these three technologies.

## 95 2.1. Steel ties coupled with nailed plates

96 The system is composed by steel ties welded to steel plates, which are nailed to the panels at each  
97 storey level, Fig. 1. In this way, the steel ties connect the nailed plates together and transmit the  
98 tensile forces derived from them directly to the concrete foundation. According to this strategy, the  
99 nailed plates work as hold-downs at each storey but have to withstand only the tensile force deriving  
100 from rocking at that storey, whereas the tensile forces derived from the storeys above are directly  
101 transferred to the foundation through the ties. This results in reducing the tensile forces at the nailed  
102 connections, permitting of overcoming the typical limitations in the realization of multi-storey  
103 buildings.

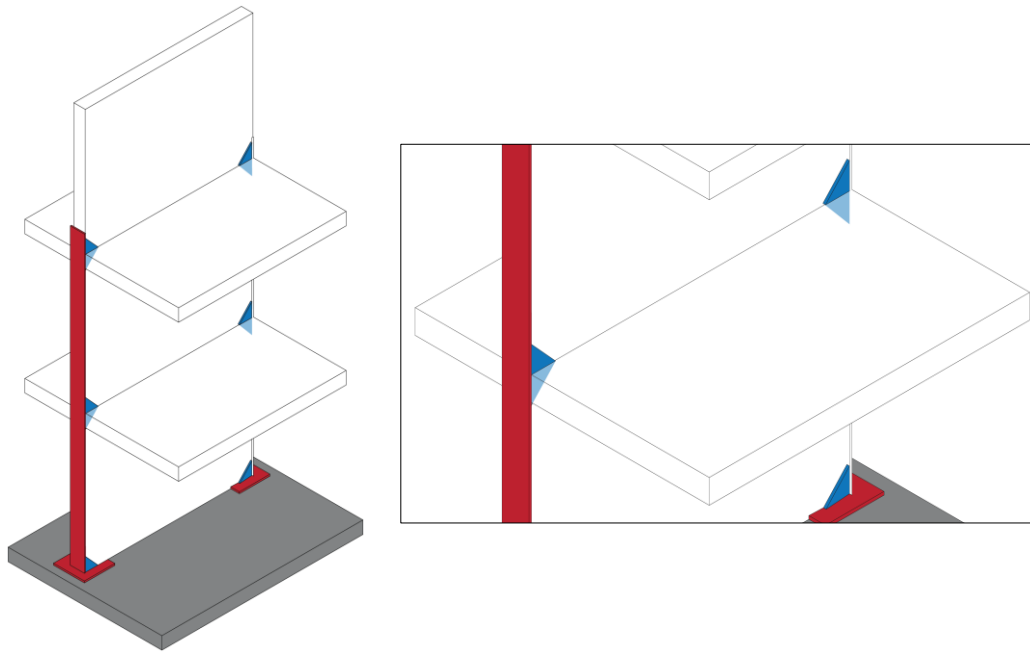
104 To avoid eccentricities, the nailed plates and the steel ties should be placed at both sides of the wall.  
105 Since the steel tie is continuous from the foundation to the top storey, it is necessary to realize a slot  
106 through the timber floors. Furthermore, if required, it is possible to realize the tie with various steel  
107 elements and then to restore its continuity by welding or bolting.



108 Fig. 1 – Conceptual representation of the steel ties coupled with nailed plates. 3D view and  
109 front face is shown)

110 2.2. Steel ties coupled with the screwed connection X-RAD

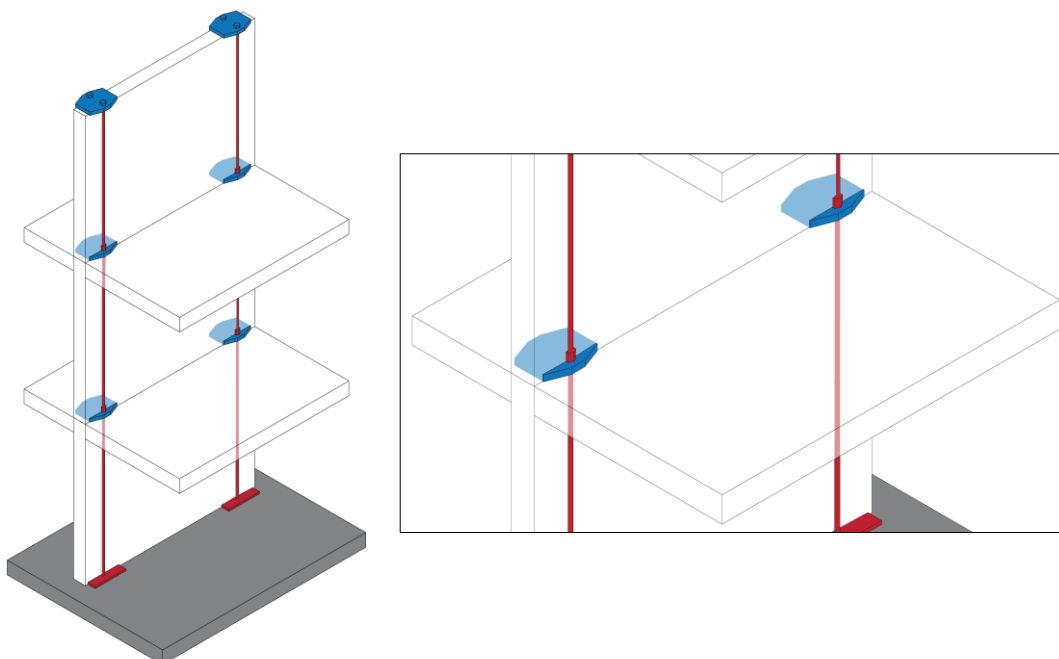
111 The system is based on the combination of the screwed connection X-RAD [29] and the steel ties,  
112 which work together, Fig. 2. In detail, the steel ties are bolted to the X-RAD at each floor level. As  
113 the strategy described in Section 2.1, the ties are continuous along the height of the shear wall and  
114 can be composed by various bolted or welded elements. At the corners of the building, the steel ties  
115 can be opportunely realized with steel L profiles, whereas elsewhere steel plates can be placed at  
116 the narrow sides (thickness) of the wall, Fig. 2. According to this arrangement of the ties,  
117 eccentricities are avoided. As for the ties combined with nailed plates, the X-RAD for each storey  
118 must withstand only the tensile force deriving from rocking at that storey, whereas the tensile forces  
119 derived from the storeys above are directly transferred to the foundation through the tie.



120 Fig. 2 – Conceptual representation of the steel ties coupled with X-RAD. 3D view and detail (only the front face  
 121 is shown)

122 2.3. Steel ties only

123 This strategy, unlike the previous ones, does not involve the coupling of ties with other connections.  
 124 The rocking behaviour at each storey is directly prevented by thick steel plates, in direct contact with  
 125 the top narrow side of each wall panel. In this case, the ties can be realized with two steel bars  
 126 placed at both sides of the wall; the bars are secured to the plates at each floor level, Fig. 3.  
 127 Compared to the other two systems (i.e., nailed plates or X-RAD combined with steel ties), this is  
 128 definitely the stiffest, since the deformation contribution related to nails or screws is avoided.



129 Fig. 3 – Conceptual representation of the steel ties. 3D view and detail (only the front face is shown)

130 **3. Numerical model and analyses**

131 In this Section, the effectiveness of the technology is evaluated, presenting parametric Linear  
132 Dynamic Analyses (LDA) of multi-storey CLT shear walls, which represent the bracing system of a  
133 building, supposed to be realized in an area with high earthquake hazard.

134 3.1. Description of the buildings

135 Two CLT buildings have been considered, having rectangular plan with dimensions of 17.1 × 15.5  
136 m (Building A) and 23.3 × 15.5 m (Building B), and a variable number of storeys (3, 5 and 7 storeys),  
137 with an inter-storey height of 3 m. The structure is braced with six shear walls per direction for a total  
138 of 12 shear walls in the building, symmetrically arranged in plan. The base length of the walls is  
139 equal to 6 m. They are intended to act only as lateral-load resisting systems, whereas all the vertical  
140 gravitational loads are resisted by a frame, which is supposed to be perfectly pendular, in order to  
141 neglect its effects in the lateral stiffness of the building. The perfect regularity of the buildings and  
142 the rigid floor diaphragms allowed to study the behaviour of the whole structure modelling only one  
143 shear wall, with the same number of storeys of the building and one sixth of the mass.

144 The mass was computed according to the seismic combination of EN 1990 [30], assuming floor and  
145 roof dead loads equal to 2.5 kN/m<sup>2</sup>, floor live loads equal to 2 kN/m<sup>2</sup> and roof live loads equal to 0.5  
146 kN/m<sup>2</sup>. The seismic storey masses are listed in Table 1.

147 Table 1 – Seismic mass per storey relative to the modelled shear wall

	<b>Building A</b>	<b>Building B</b>
Dimensions	17.1 × 15.5 m	23.3 × 15.5 m
Floor mass	14.0 t	19.0 t
Roof mass	11.3 t	15.3 t
Wall mass	1.5 t	1.5 t

148 3.2. Earthquake-resistant connections

149 Two technologies have been preliminarily considered to connect the panels of the shear walls to the  
150 foundation and in-between storeys and to withstand the seismic forces: traditional hold-downs and  
151 angle brackets [31] or the innovative strong connection X-RAD [29]. Since for the considered high  
152 earthquake intensity, the seismic demand for the five-storey shear walls (in terms of force and/or  
153 stiffness) exceeded the capacity of these two connection systems, steel ties have been used to  
154 strengthen and stiffen the structure, according to the conceptual representations in Section 2. The  
155 use of steel ties allowed also to extend the analyses to the seven-storey shear walls.

156 Table 2 summarizes all the analysed configurations, with the following meaning of the labels:

- 157 - The first letter (A or B) identifies the dimension of the building, that is, the different storey  
158 mass assumed for the single shear wall;
- 159 - The number (3, 5 or 7) identifies the number of storeys of the shear wall;

160 - The last letters identify the connection system used or the combination of them: “H” is for  
 161 hold-down, “X” is for X-RAD (i.e., screwed connection), “T” is for steel ties (see Section 2,  
 162 Fig. 3), “NP+T” and “X+T” are for a combination of nailed plates or X-RAD with the steel ties  
 163 (hereafter called also combined configurations or strengthened configurations, see Section  
 164 2, Fig. 1 and Fig. 2).

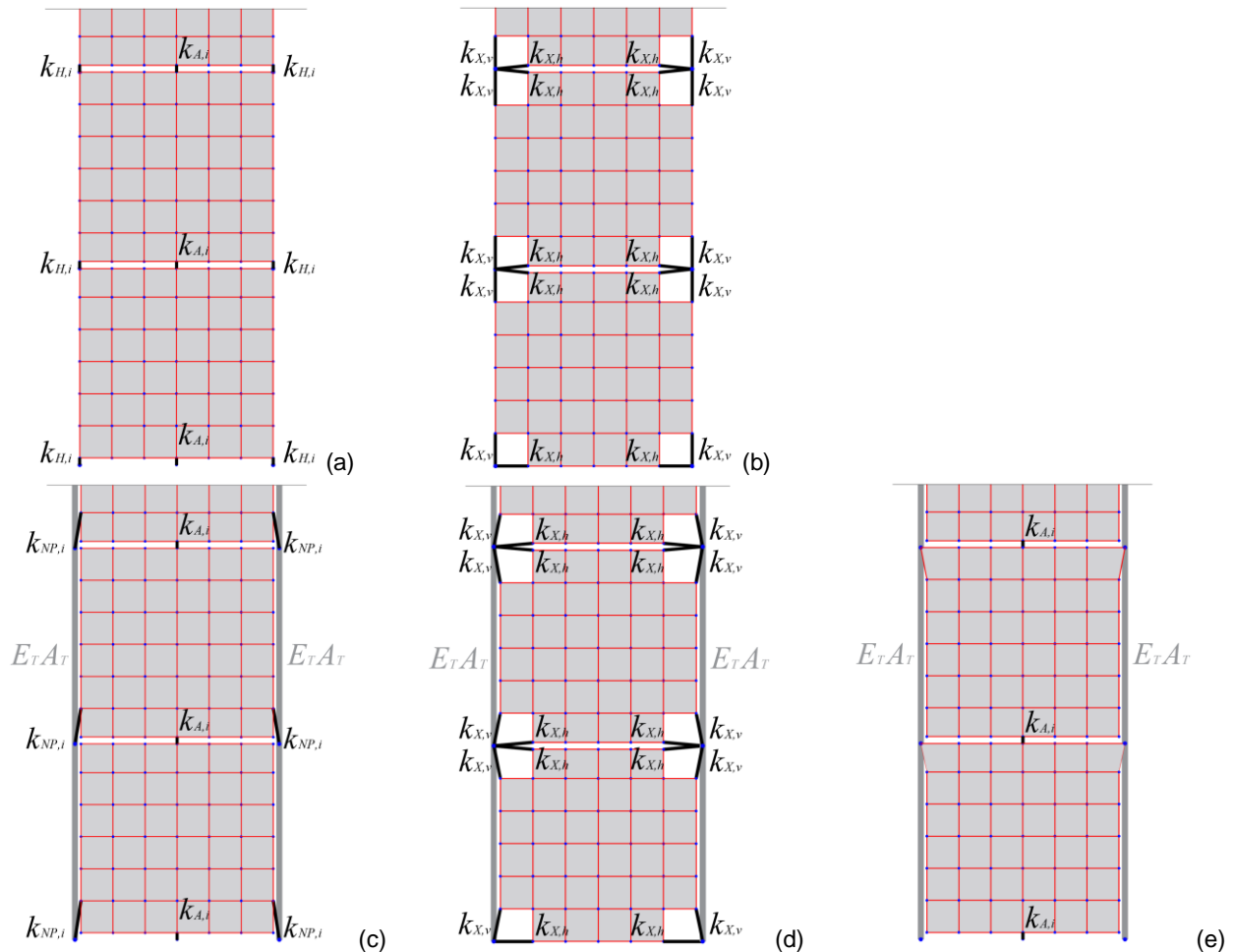
165 Table 2 – Analysed configurations of the shear walls and labels

Config.	Connection system		Labels					
			Building A			Building B		
	Rocking	Sliding	3 storeys	5 storeys	7 storeys	3 storeys	5 storeys	7 storeys
H	Hold-downs	Angle brackets	<b>A3H</b>	<b>A5H</b>	-	<b>B3H</b>	<b>B5H</b>	-
NP+T	Nailed plates and steel ties	Angle brackets	-	<b>A5NP+T</b>	<b>A7NP+T</b>	-	<b>B5NP+T</b>	<b>B7NP+T</b>
X	X-RAD	X-RAD	<b>A3X</b>	<b>A5X</b>	-	<b>B3X</b>	<b>B5X</b>	-
X+T	X-RAD and steel ties	X-RAD	-	<b>A5X+T</b>	<b>A7X+T</b>	-	<b>B5X+T</b>	<b>B7X+T</b>
T	Steel ties	Angle brackets	-	<b>A5T</b>	<b>A7T</b>	-	<b>B5T</b>	<b>B7T</b>

166 3.3. Numerical models

167 The numerical models of the buildings were developed and analyses were performed using the  
 168 SAP2000 Finite Element code [32]. The following finite elements were used: two-dimensional elastic  
 169 shell elements to model the CLT panels; uniaxial linear elements to simulate the actual stiffness of  
 170 the connections; one-dimensional truss elements to simulate the steel ties. Fig. 4 shows the models  
 171 of the shear walls, performed with the characteristics hereafter summarized.

172 In the configurations H and NP+T (Fig. 4 a,c), the connections have been modelled with uniaxial  
 173 elements according to the component approach presented in [4]. It can be noted that, according to  
 174 the technology presented in Section 2.1, in the model NP+T (Fig. 4 c), the tensile forces due to  
 175 rocking at each storey level are firstly resisted by the nailed plates and then introduced in the steel  
 176 tie and directly transferred to foundation. The values to be assigned to these models are: the axial  
 177 stiffness of the hold-down  $k_{H,i}$  or of the nailed plate  $k_{NP,i}$ ; the shear stiffness of the element  
 178 representing all the angle-brackets in a storey  $k_{A,i}$ ; the Modulus of Elasticity  $E_T$  and the cross-section  
 179 area  $A_T$  of the truss elements representing the steel ties. In the configurations X and X+T (Fig. 4  
 180 b,d), the X-RAD system that connects two panels at the same corner is represented by an assembly  
 181 of four uniaxial elements: the two vertical elements simulate the stiffness at  $90^\circ$  to the horizontal  
 182 ( $k_{X,v}$ ); the other two elements the stiffness at  $0^\circ$  to the horizontal ( $k_{X,h}$ ). The steel tie is represented  
 183 also in this case with  $E_T$  and  $A_T$ . Finally, in the configurations T (Fig. 4 e), the steel ties are directly  
 184 fixed to the top of each panel, for all the storeys, in order to transfer directly the force from the wall  
 185 to the tie, according to the technology described in Section 2.3.



186 Fig. 4 – Numerical models of the shear walls. Configurations with: (a) hold-downs “H”; (b) X-RAD “X”; (c) nailed  
 187 plates and steel ties “NP+T”; (d) X-RAD and steel ties “X+T”; (e) steel ties “T”

188 3.4. Design of the shear walls and stiffness of the connection systems

189 The shear walls were designed performing iterative LDA [26], assuring, for each iteration, the  
 190 consistency between strength and stiffness of connections in the model, up to fulfilment of  
 191 verifications for Ultimate Limit State (ULS) and Damage Limitation State (DLS) [33]. As anticipated  
 192 in Section 3.2, H and X configurations for the five-storey buildings did not fully comply with these  
 193 verifications and the strengthening with the ties was required. Nevertheless, the results are anyway  
 194 shown also for these configurations for comparative purposes.

195 The site location of Perugia in the region of Umbria has been chosen because it is representative of  
 196 a high seismic hazard zone in Italy. It is characterised by the seismic frequency spectra reported in  
 197 Fig. 5, according to Italian Regulation for Constructions [27], assuming building foundations resting  
 198 on ground type C and behaviour factor  $q$  equal to 1.5 or 2.0. A  $q$  equal to 2.0 was assumed for all  
 199 the non-strengthened configurations (H and X), according to a dissipative structural behaviour in  
 200 medium ductility class [26]. For the configurations with vertical steel ties (NP+T, X+T and T), it was  
 201 assumed equal to 1.5. As mentioned above, the choice of designing these configurations according

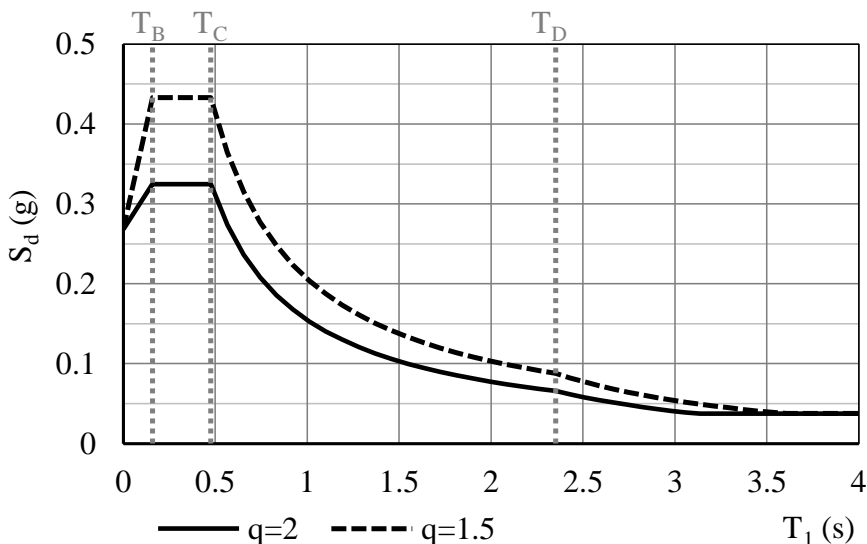


202 to a low-dissipative structural behaviour [26] was made since a reduced dissipative capacity is  
 203 expected by using steel ties, which represent the leading earthquake-resistant system in the building.  
 204 The following connections have been considered in the models:

- 205 - Hold-downs WHT340 with 20 nails or WHT620 with 52 nails [34];
- 206 - Angle brackets TTF200 for floor-wall joints or TCF200 for wall-to-foundation joint, with 30  
 207 nails [35];
- 208 - 4-mm thick nailed plates with 12 nails (NP12) or 26 nails (NP26);
- 209 - X-RAD [36].

210 Table 3 lists strength and stiffness values assumed for connections, according to values given in [4]  
 211 for hold-downs and angle brackets and in [29] for X-RAD. Strength and stiffness of nailed plates  
 212 were obtained multiplying the values available in [37] relative to a steel-timber connection with one  
 213 4x60-mm ring-shank nail, by twelve or twenty-six nails for NP12 and NP26 respectively. The steel  
 214 ties were assumed to be realized with rectangular steel plates (or alternative shapes such as L or  
 215 UPN profiles), realized in class S355, Modulus of Elasticity  $E_T$  equal to 210000 MPa [38].

216 The iterative design procedure resulted in the arrangement of connections listed in Table 4 for the  
 217 configurations H, NP+T and T. With reference to the configurations X and X+T, one X-RAD per panel  
 218 corner was assumed for all configurations and storeys, with the exception of the 7-storey shear walls  
 219 (A7X+T and B7X+T), for which a couple of X-RAD was added for all the storeys at the middle of the  
 220 panel base and panel top, to increase the shear strength and comply with the seismic demand. For  
 221 all the configurations with steel ties, an overall cross-section area of the ties  $A_T$  equal to 1500 mm<sup>2</sup>  
 222 was assumed for the 5-storey shear wall, and equal to 2250 mm<sup>2</sup> for the 7-storey shear wall.



223  
 224 Fig. 5 – Design seismic spectra of Perugia in Italy, ground type C, behaviour factors  $q$  equal to 1.5 or 2

225  
 226  
 227

228 Table 3 – Strength and stiffness of the earthquake-resistant connections

Metal connector	Rocking				Sliding		Rocking and sliding
	WHT340 hold-down [4]	WHT620 hold-down [4]	NP12 nailed plate [37]	NP26 nailed plate [37]	TTF200 angle bracket [4]	TCF200 angle bracket [4]	X-RAD [29]
Elastic stiffness (kN/mm)	5.70	13.25	5.80	12.58	8.21	8.48	15.2 (0°/90°) 17.3 (180°/270°)
Strength (kN)	46.20	93.70	41.58	90.09	39.10	39.10	<i>Capacity domain</i>

229

230 Table 4 – Arrangement of the earthquake-resistant connections per panel of the multi-storey shear wall.  
231 Configurations H, NP+T and T

Storey	1	2	3	4	5	6	7
A3H	4 WHT620 3 TCF200	4 WHT340 3 TTF200	2 WHT340 2 TTF200	–	–	–	–
A5H	4 WHT620 3 TCF200	4 WHT620 3 TTF200	4 WHT340 2 TTF200	4 WHT340 2 TTF200	2 WHT340 2 TTF200	–	–
A5NP+T	4 NP26 5 TCF200	2 NP26 5 TTF200	2 NP26 4 TTF200	2 NP12 3 TTF200	2 NP12 2 TTF200	–	–
A5T	5 TCF200	5 TTF200	4 TTF200	3 TTF200	2 TTF200	–	–
A7NP+T	4 NP26 7 TCF200	4 NP26 6 TTF200	4 NP26 6 TTF200	4 NP26 5 TTF200	2 NP26 4 TTF200	2 NP26 3 TTF200	2 NP12 2 TTF200
A7T	7 TCF200	6 TTF200	6 TTF200	5 TTF200	4 TTF200	3 TTF200	2 TTF200
B3H	4 WHT620 3 TCF200	4 WHT340 3 TTF200	2 WHT340 2 TTF200	–	–	–	–
B5H	4 WHT620 3 TCF200	4 WHT620 3 TTF200	4 WHT340 2 TTF200	4 WHT340 2 TTF200	2 WHT340 2 TTF200	–	–
B5NP+T	4 NP26 7 TCF200	2 NP26 6 TTF200	2 NP26 5 TTF200	2 NP12 4 TTF200	2 NP12 3 TTF200	–	–
B5T	7 TCF200	6 TTF200	5 TTF200	4 TTF200	3 TTF200	–	–
B7NP+T	4 NP26 8 TCF200	4 NP26 7 TTF200	4 NP26 7 TTF200	4 NP26 6 TTF200	2 NP26 5 TTF200	2 NP26 4 TTF200	2 NP12 3 TTF200
B7T	8 TCF200	7 TTF200	7 TTF200	6 TTF200	5 TTF200	4 TTF200	3 TTF200

232

233 To compare the configurations in terms of resulting amount of connections per panel (Table 4), it is  
234 necessary to remember that the strengthening with the steel ties leads to an increase of the seismic  
235 demand due to an increase of stiffness and consequent decrease of periods of vibration and due to  
236 the lower behaviour factor used, Fig. 5. The consequent increase of strength demand clearly results  
237 in an increase of the number of angle-brackets per storey to resist higher shear forces, as can be  
238 noted by comparing results among the five-storey configurations. However, despite this apparent  
239 disadvantage, the addition of steel ties actually leads to a significant advantage in terms of rocking  
240 behaviour. Contrary to the non-strengthened five-storey buildings, both ULS and DLS verifications  
241 are fulfilled for all the five- and seven-storey NP+T and X+T configurations. Finally, the T  
242 configuration seems to be the most effective strategy in terms of optimization in the number of  
243 connections.

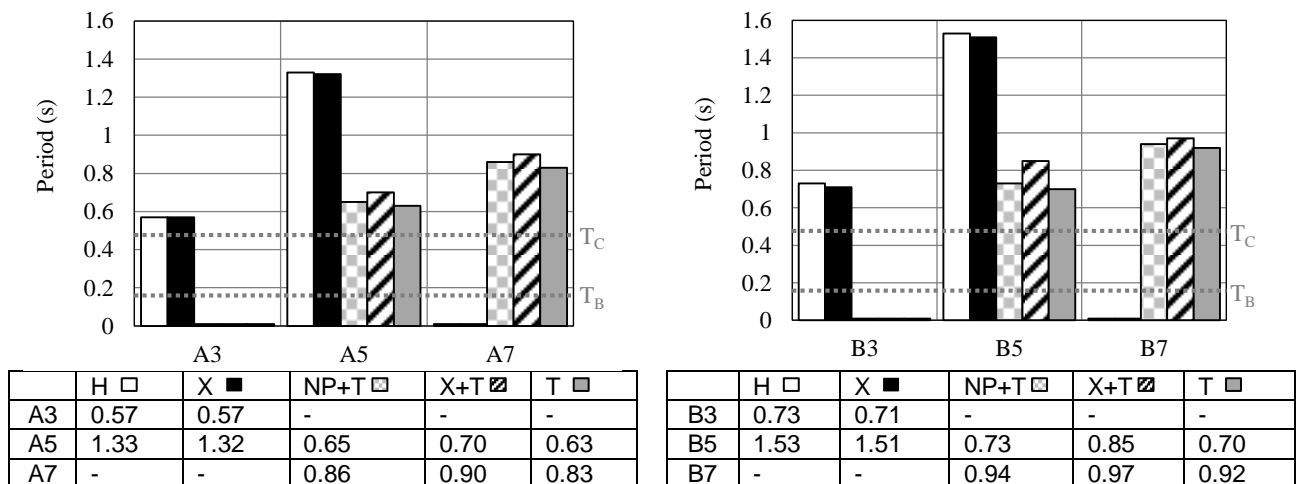
244 **4. Results**

245 In this Section, results from LDA are summarized and discussed in terms of fundamental periods of  
 246 vibration, tensile forces at connections, inter-storey drifts and displacements.

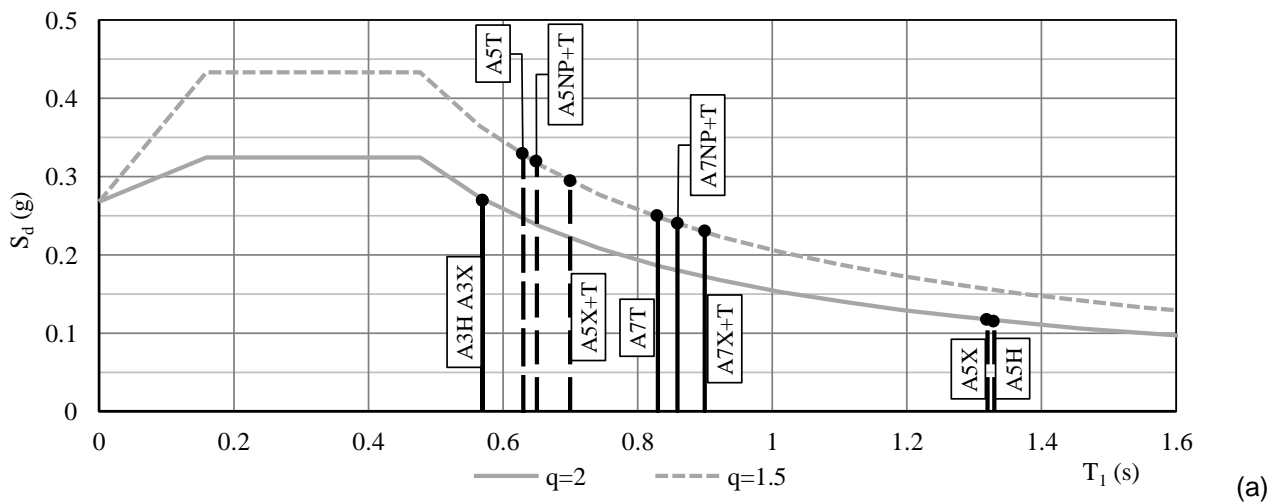
247 4.1. Fundamental periods of vibration

248 Fig. 6 shows the results of the analyses in terms of fundamental period of vibration of the structure.  
 249 The periods  $T_B$  and  $T_C$  of the seismic spectra defined by Eurocode 8 [26] (see Fig. 5) are also  
 250 represented to identify the limit of the constant spectral acceleration branch (plateau).

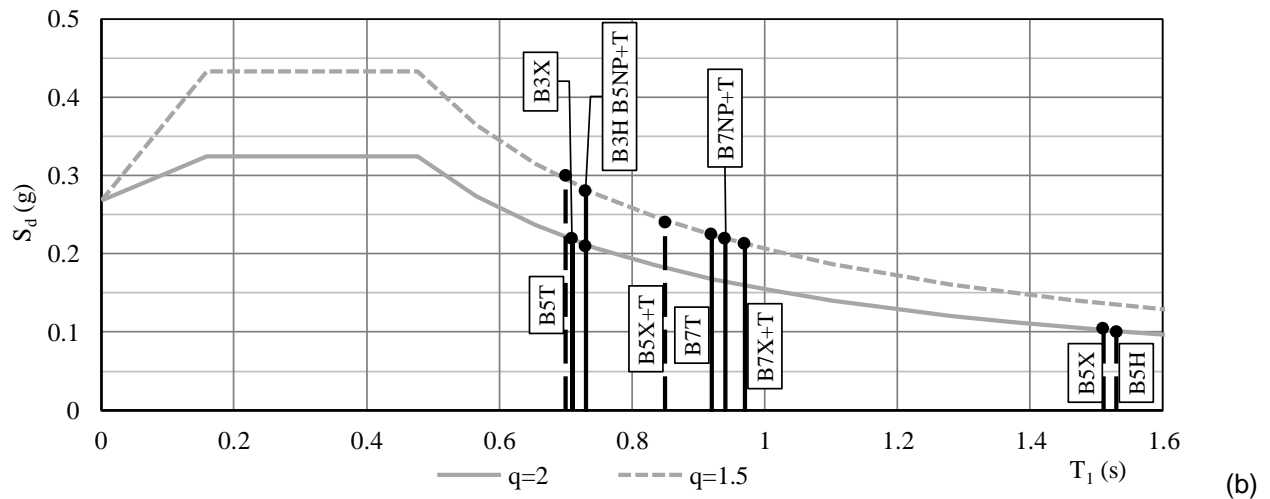
251 The configurations without steel ties (H and X) for both the buildings show similar fundamental  
 252 periods of vibrations. The use of steel ties combined or not with the nailed plates and X-RAD (NP+T,  
 253 X+T, T) increases strongly the global stiffness of the shear walls, resulting in a substantially lower  
 254 fundamental period and a higher spectral acceleration. This is evident comparing results for the five-  
 255 storey configurations in Fig. 7 (dashed black lines), where the fundamental periods of vibration have  
 256 been superimposed to the design spectra.



257 Fig. 6 – Fundamental periods of vibration. Units: s



258  
 259 (a)



260  
261

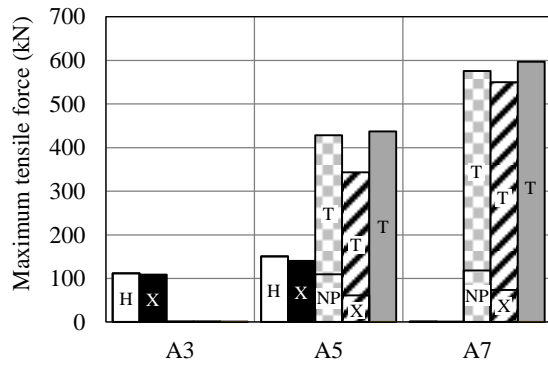
Fig. 7 – Fundamental periods of vibration and design spectra. (a) Building A; (b) Building B

#### 262 4.2. Tensile forces

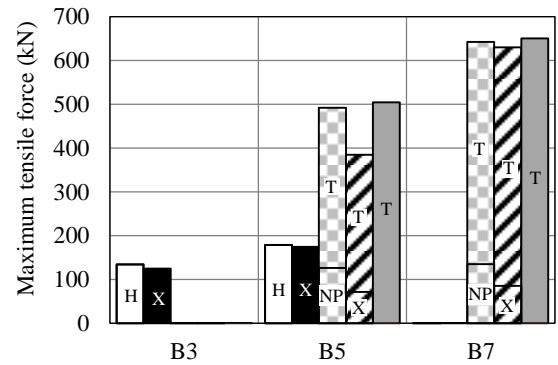
263 Fig. 8 shows the results of the analyses in terms of maximum tensile forces due to rocking. The  
264 maximum values were recorded always in the connections at the base of the shear walls. The tensile  
265 force is showed for the rate resisted by the hold-downs (H), by the X-RAD (X), by the nailed plates  
266 (NP) and by the steel tie (T).

267 The comparison between the configurations without steel ties (H and X) for both the buildings shows  
268 similar forces. It is worth noting that for the 5-storey shear walls, very high tensile forces in the hold-  
269 downs and X-RAD have been recorded, reaching about 180 kN in the hold-downs of the B5H  
270 configuration, which is very close to the limit of about 187 kN of a couple of WHT 620 in the wall end,  
271 see Table 3. Despite the higher spectral acceleration and consequent higher inertial forces due to  
272 the lower period of vibration and to the lower behaviour factor assumed, the strengthening with the  
273 steel ties allows to reduce the forces in the connections, and to have the possibility of increasing the  
274 number of storeys.

275 The T configurations behave similarly to the NP+T ones. The maximum tensile force at the base is  
276 almost the same, but in the case of the T configurations, the force must be resisted entirely by the  
277 tie. Conversely, in the NP+T and X+T configurations, tension in the ties is lower, due to the  
278 contribution of nailed plates and X-RAD at the base of the building, which are directly anchored to  
279 the foundation. The T configuration seems more effective than the NP+T and X+T configurations,  
280 since only the ties are used, avoiding additional nailed or screwed connections to withstand tensile  
281 forces. Nevertheless, the combined configurations may become further interesting, when used in  
282 combination with dissipative device (e.g., [19–25]). In this way, the dissipators permit to reduce at  
283 each storey the inertial force transmitted from the panels to the tie. It is worth recalling also the  
284 possibility of pre-assembling the connections to the panels, making the X+T configuration particularly  
285 advantageous in terms of prefabrication and fast installation of the ties to the structure, see Section  
286 2.2.



	H □	X ■	NP+T □	X+T ▨	T □
A3	112.1	109.0	-	-	-
A5	150.7	140.3	428.1 NP 109.2 T 318.9	343.3 X 61.5 T 281.8	436.9
A7	-	-	575.5 NP 118.3 T 457.2	549.8 X 73.4 T 476.4	597.3



	H □	X ■	NP+T □	X+T ▨	T □
B3	134.2	125.0	-	-	-
B5	179.2	174.7	492.4 NP 126.2 T 366.2	384.7 X 71.5 T 313.2	504.3
B7	-	-	642.8 NP 135.1 T 507.7	630.3 X 85.3 T 545.0	650.4

287 Fig. 8 – Maximum tensile forces at hold-downs, nailed plates, X-RAD connections and steel ties. Units: kN

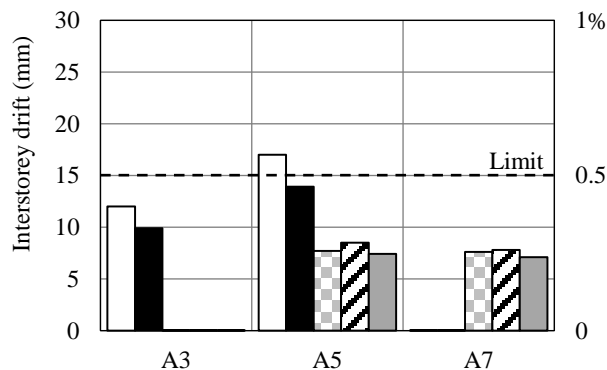
#### 288 4.3. Displacements and inter-storey drifts

289 Fig. 9 and Fig. 10 show the results of the analyses in terms of maximum inter-storey lateral drift and  
 290 maximum top displacement of the shear walls.

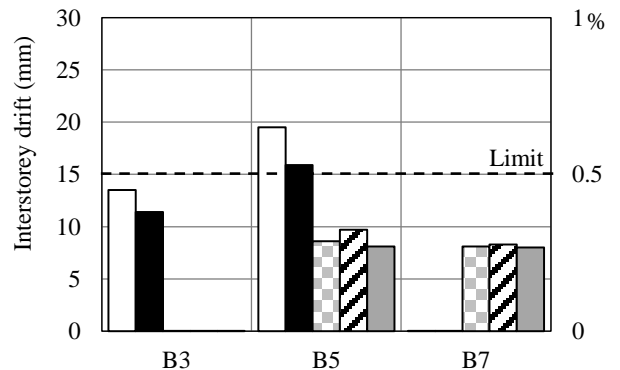
291 The configurations without steel ties (H and X) for both the buildings show similar top displacements,  
 292 with an inter-storey drift slightly higher for the H configurations, mainly due to the greater rocking  
 293 deformation. In these configurations, the global lateral displacements are mainly due to rocking for  
 294 about an 80% rate and the contribution of shear deformations is limited to about 20%.

295 It can be noted that the use of the steel ties and the design of the structure according to a low-  
 296 dissipative structural behaviour, result in limiting the lateral displacements of the building, and  
 297 therefore in limiting the damage to structural and non-structural components.

298 The 5-storey shear walls analysed in this work demonstrate that the addition of the steel ties almost  
 299 halves the top displacements and inter-storey drifts, and, also for the 7-storey shear walls,  
 300 displacements and drifts are smaller than the 5-storey configurations without ties and comply fully  
 301 with the limit of 0.5% in Eurocode 8 [26]. In these strengthened configurations, shear deformations  
 302 take on greater importance and contribute for about 50% to the global lateral displacements.

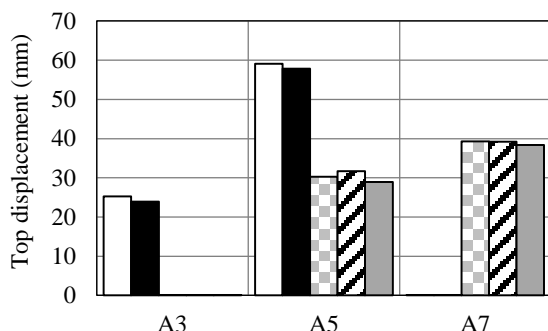


	H □	X ■	NP+T □	X+T ▨	T □
A3	12.0	9.9	-	-	-
A5	17.0	13.9	7.7	8.5	7.4
A7	-	-	7.6	7.8	7.1

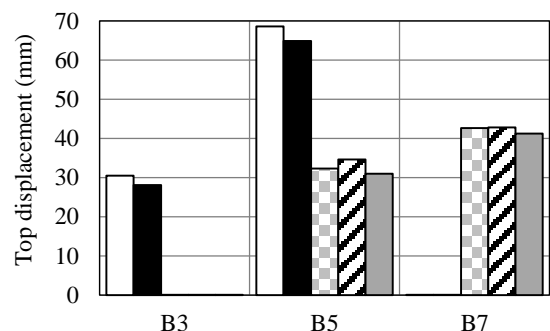


	H □	X ■	NP+T □	X+T ▨	T □
B3	13.5	11.4	-	-	-
B5	19.5	15.9	8.6	9.7	8.1
B7	-	-	8.1	8.3	8.0

303 Fig. 9 – Maximum inter-storey drifts and DLS limit. Units: mm



	H □	X ■	NP+T □	X+T ▨	T □
A3	25.3	23.9	-	-	-
A5	59.1	57.8	30.3	31.7	28.9
A7	-	-	39.3	39.2	38.4



	H □	X ■	NP+T □	X+T ▨	T □
B3	30.5	28.1	-	-	-
B5	68.6	64.9	32.3	34.6	31.0
B7	-	-	42.6	42.8	41.2

304 Fig. 10 – Maximum top displacements. Units: mm

#### 305 4.4. Effects of optimizing the capacity of steel ties per storey

306 In order to optimize the steel ties, the cross-section can be gradually reduced from the foundation to  
 307 the top of the building, keeping almost constant among the storeys the ratio between the tensile  
 308 strength demand to the capacity of the ties; this ratio is hereafter called “working rate”.

309 To evaluate the influence of such optimization on the fundamental period of vibration, tensile forces  
 310 and displacements, additional LDA were carried out for the strengthened configurations of the 5- and  
 311 7-storey Building A. The optimized configurations are marked with a star; the additional  
 312 configurations are therefore: A5NP+T\*; A5X+T\*; A5T\*; A7NP+T\*; A7X+T\*; A7T\*. The cross-section  
 313 areas of the ties resulting from the design and the relative working rates are listed in Table 5 and  
 314 compared with the non-optimized counterparts. It is worth noting that the optimized design resulted  
 315 in an important reduction of the cross-section of the ties for the upper storeys. Conversely, the  
 316 number of the other connection elements (nailed plates, angle brackets and X-RAD), remained  
 317 unchanged with respect to those of Table 4 and negligible variations occurred in terms of periods  
 318 (below 12%) and therefore in forces acting in connections (below 12%), as can be seen in Table 6.

319 Finally, the decrease in the overall stiffness of the optimized structures resulted in a negligible  
 320 increase of inter-storey drifts (below 15%) and top displacements (below 12%).

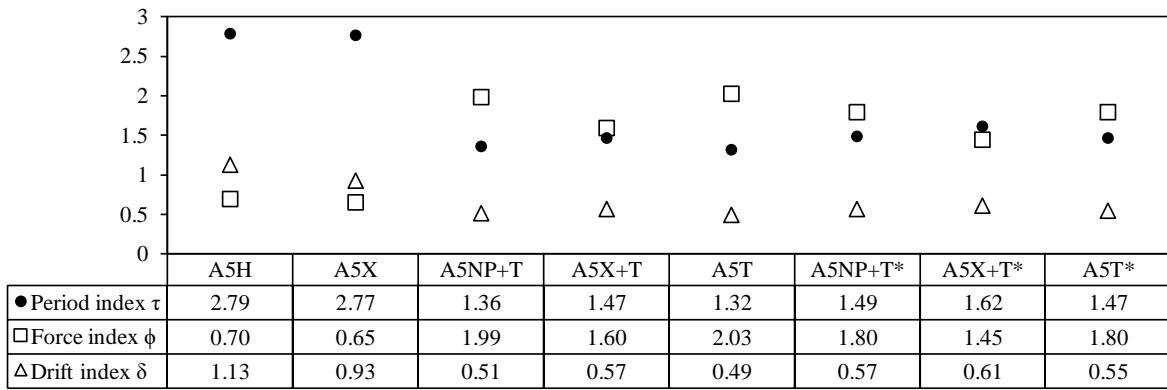
321 A final comparison among all the analysed configurations for the 5- and 7-storey Building A is given  
 322 in Fig. 11. Three dimensionless parameters have been introduced, namely: the period index  $\tau$  equal  
 323 to  $T_1/T_c$  that shows how far the fundamental period of vibration is from the plateau; the tensile force  
 324 index  $\phi$  equal to  $F_{max}/(M \cdot PGA)$ ; and the drift index  $\delta$  equal to the actual drift divided by 0.5%. In these  
 325 parameters,  $T_1$  is the fundamental period of vibration,  $T_c$  is equal to 0.476 s,  $F_{max}$  is the maximum  
 326 tensile force,  $M$  is the total seismic mass relative to the shear wall according to Table 1,  $PGA$  is the  
 327 peak ground acceleration equal to 2.61 m/s<sup>2</sup>, 0.5% is the drift limit for DLS.

328 Table 5 – Cross-section areas  $A_T$  of the steel ties, units mm<sup>2</sup>. In brackets the working rate of the steel ties

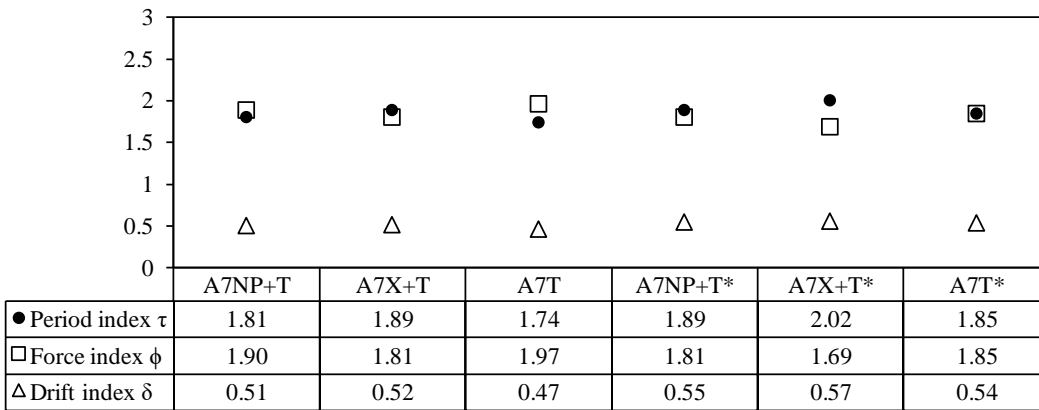
Storey	1	2	3	4	5	6	7
A5NP+T	1500 (60%)	1500 (60%)	1500 (38%)	1500 (21%)	1500 (8%)	-	-
A5NP+T*	1500 (54%)	1500 (54%)	950 (53%)	550 (53%)	200 (48%)	-	-
A5X+T	1500 (53%)	1500 (53%)	1500 (35%)	1500 (21%)	1500 (10%)	-	-
A5X+T*	1500 (48%)	1500 (48%)	1000 (47%)	600 (49%)	300 (46%)	-	-
A5T	1500 (82%)	1500 (58%)	1500 (38%)	1500 (21%)	1500 (7%)	-	-
A5T*	1500 (74%)	1100 (68%)	700 (67%)	400 (68%)	150 (65%)	-	-
A7NP+T	2250 (58%)	2250 (58%)	2250 (44%)	2250 (33%)	2250 (22%)	2250 (12%)	2250 (6%)
A7NP+T*	2250 (55%)	2250 (55%)	1750 (54%)	1300 (54%)	850 (56%)	500 (50%)	200 (48%)
A7X+T	2250 (60%)	2250 (60%)	2250 (47%)	2250 (35%)	2250 (24%)	2250 (14%)	2250 (7%)
A7X+T*	2250 (56%)	2250 (56%)	1750 (56%)	1300 (55%)	900 (55%)	600 (48%)	250 (49%)
A7T	2250 (75%)	2250 (65%)	2250 (50%)	2250 (36%)	2250 (23%)	2250 (13%)	2250 (5%)
A7T*	2250 (70%)	2000 (69%)	1500 (70%)	1100 (68%)	700 (70%)	400 (67%)	150 (66%)

329  
 330 Table 6 – Results for the optimized configurations and percentage change from the non-optimized counterparts

	Period (s)		Maximum Tensile Force (kN)		Top displacement (mm)		Inter-storey drift (mm)	
A5NP+T*	0.71	9.23%	385.6	-9.93%	32.8	8.25%	8.6	11.69%
A5X+T*	0.77	10.00%	312.2	-9.06%	34.0	7.26%	9.2	8.24%
A5T*	0.70	11.11%	387.2	-11.38%	31.4	8.65%	8.3	12.16%
A7NP+T*	0.90	4.65%	549.7	-4.48%	43.1	9.67%	8.3	9.21%
A7X+T*	0.96	6.67%	514.2	-6.48%	43.6	11.22%	8.5	8.97%
A7T*	0.88	6.02%	560.9	-6.09%	42.8	11.46%	8.1	14.08%



331 (a)



332 (b)

333 Fig. 11 – Period, force and drift index for the 5- and 7-storey configurations of Building A. (a) 5 storeys; (b) 7  
334 storeys

335 The comparison in Fig. 11 for the 5-storey building confirms the substantial decrease of fundamental  
336 periods of vibration and the increase of tensile forces for the strengthened shear walls. The  
337 improvement is evident in terms of DLS verification, confirming that it is fulfilled for all the  
338 strengthened configurations of the 5- and 7-storey shear walls. On the contrary,  $\delta$  is higher than 1  
339 for the A5H configuration, confirming that the DLS verification is not fulfilled and stiffening of the  
340 shear wall is needed. Finally, the results for the optimized configurations are confirmed to be similar  
341 to the non-optimized ones, with slight decrease of lateral stiffness and consequent increase of inter-  
342 storey drift.

### 343 5. Conclusions

344 Three earthquake-resistant systems for timber shear-wall structures have been presented, based  
345 on the use of vertical steel ties as alternative or in addition to traditional nailed plates or screwed  
346 connections. The proposed technologies are intended for multi-storey CLT buildings and have the  
347 aim of withstanding high tensile forces due to rocking of the timber panels, transmitting them directly  
348 from each storey level to the concrete foundation. At the same time, the ties stiffen the structure,  
349 reducing lateral displacements and inter-storey drifts. The fastening of the ties to the panels at each  
350 storey level can be realized with traditional nailed plates or screwed connections. As an alternative



351 to the use of dowel-type fasteners, the ties can be directly secured to thick steel plates, placed in  
352 direct contact to the top narrow side of each wall panel.

353 Previous studies available in the literature proved that the main issues of multi-storey CLT buildings  
354 subjected to seismic action are the limited lateral stiffness and the high tensile forces concentrated  
355 in hold-down connections, which become the critical components of the building. The parametric  
356 dynamic analyses presented in this work have confirmed this conclusion and have demonstrated  
357 that the proposed use of steel ties to realize high-strength shear walls can address both these issues,  
358 improving the feasibility of multi-storey CLT buildings in high-seismicity areas. This strategy makes  
359 also possible a reduction of the number of earthquake-resistant walls and connections in the building.  
360 The parametric analyses have shown that the spectral accelerations increase by adding the ties to  
361 the structure, due to an increase in the global stiffness of the shear walls and consequent decrease  
362 of periods of vibration. Nevertheless, the tensile forces in the connections significantly decrease,  
363 being partially or totally resisted by the steel ties, depending on the fastening strategy adopted.  
364 Results in terms of top displacements and inter-storey drifts have demonstrated that the use of steel  
365 ties improves significantly the stiffness and the elastic response of the building, with clear  
366 advantages in complying with requirements for damage limitation state.

367 Finally, the reduction of the cross-section area of the ties from the foundation to the top of the building  
368 according to the seismic demand leads to the optimization of the structural material without affecting  
369 the response of the building.

370 The earthquake-resistant systems presented in this work have proven a good seismic performance,  
371 making them worthy of further developments and research. Additional analyses can be performed  
372 to investigate the possible coupling of the proposed systems with ductile devices, able to dissipate  
373 energy, in order to reduce the inertial forces introduced in the steel ties at each floor level.

374

### 375 **Acknowledgements**

376 The authors would like to thank STP s.r.l. company for founding the research and Dr. Francesca  
377 Paoloni for the cooperation in the graphic representations of the technology.

378

### 379 **References**

380

- 381 [1] Brandner R, Flatscher G, Ringhofer A, Schickhofer G, Thiel A. Cross laminated timber (CLT):  
382 overview and development. *Eur J Wood Wood Prod* 2016;74:331–51. doi:10.1007/s00107-  
383 015-0999-5.
- 384 [2] Izzi M, Casagrande D, Bezzi S, Pasca D, Follesa M, Tomasi R. Seismic behaviour of Cross-  
385 Laminated Timber structures: A state-of-the-art review. *Eng Struct* 2018;170:42–52.  
386 doi:10.1016/J.ENGSTRUCT.2018.05.060.
- 387 [3] Trutalli D, Marchi L, Scotta R, Pozza L. Capacity design of traditional and innovative ductile  
388 connections for earthquake-resistant CLT structures. *Bull Earthq Eng* 2019;17:2115–36.  
389 doi:10.1007/s10518-018-00536-6.
- 390 [4] Polastri A, Izzi M, Pozza L, Loss C, Smith I. Seismic analysis of multi-storey timber buildings

- 391 braced with a CLT core and perimeter shear-walls. *Bull Earthq Eng* 2019;17:1009–28.  
392 doi:10.1007/s10518-018-0467-9.
- 393 [5] Ceccotti A, Sandhaas C, Okabe M, Yasumura M, Minowa C, Kawai N. SOFIE project - 3D  
394 shaking table test on a seven-storey full-scale cross-laminated timber building. *Earthq Eng*  
395 *Struct Dyn* 2013;42:2003–21. doi:10.1002/eqe.2309.
- 396 [6] Stepinac M, Šušteršič I, Gavrić I, Rajčić V. Seismic Design of Timber Buildings: Highlighted  
397 Challenges and Future Trends. *Appl Sci* 2020;10:1380. doi:10.3390/app10041380.
- 398 [7] Ugalde D, Almazán JL, Santa María H, Guindos P. Seismic protection technologies for timber  
399 structures: a review. *Eur J Wood Wood Prod* 2019;77:173–94. doi:10.1007/s00107-019-  
400 01389-9.
- 401 [8] Van De Kuilen JWG, Ceccotti A, Xia Z, He M. Very tall wooden buildings with Cross Laminated  
402 Timber. *Procedia Eng* 2011;14:1621–8. doi:10.1016/j.proeng.2011.07.204.
- 403 [9] Tesfamariam S, Stiemer SF, Dickof C, Bezabeh MA. Seismic Vulnerability Assessment of  
404 Hybrid Steel-Timber Structure: Steel Moment-Resisting Frames with CLT Infill. *J Earthq Eng*  
405 2014;18:929–44. doi:10.1080/13632469.2014.916240.
- 406 [10] Zhang X, Fairhurst M, Tannert T. Ductility Estimation for a Novel Timber–Steel Hybrid System.  
407 *J Struct Eng* 2016;142:E4015001. doi:10.1061/(ASCE)ST.1943-541X.0001296.
- 408 [11] Pei S, van de Lindt JW, Barbosa AR, Berman JW, McDonnell E, Daniel Dolan J, et al.  
409 Experimental Seismic Response of a Resilient 2-Story Mass-Timber Building with Post-  
410 Tensioned Rocking Walls. *J Struct Eng* 2019;145:04019120. doi:10.1061/(ASCE)ST.1943-  
411 541X.0002382.
- 412 [12] Moroder D, Smith T, Dunbar A, Pampanin S, Buchanan A. Seismic testing of post-tensioned  
413 Pres-Lam core walls using cross laminated timber. *Eng Struct* 2018;167:639–54.  
414 doi:10.1016/j.engstruct.2018.02.075.
- 415 [13] Sun X, He M, Li Z, Lam F. Seismic performance of energy-dissipating post-tensioned CLT  
416 shear wall structures II: Dynamic analysis and dissipater comparison. *Soil Dyn Earthq Eng*  
417 2020;130:105980. doi:10.1016/j.soildyn.2019.105980.
- 418 [14] Pilon DS, Palermo A, Sarti F, Salenikovich A. Benefits of multiple rocking segments for CLT  
419 and LVL Pres-Lam wall systems. *Soil Dyn Earthq Eng* 2019;117:234–44.  
420 doi:10.1016/j.soildyn.2018.11.026.
- 421 [15] Wilson AW, Motter CJ, Phillips AR, Dolan JD. Modeling techniques for post-tensioned cross-  
422 laminated timber rocking walls. *Eng Struct* 2019;195:299–308.  
423 doi:10.1016/j.engstruct.2019.06.011.
- 424 [16] Sun X, He M, Li Z, Lam F. Seismic performance of energy-dissipating post-tensioned CLT  
425 shear wall structures I: Shear wall modeling and design procedure. *Soil Dyn Earthq Eng*  
426 2020;131:106022. doi:10.1016/j.soildyn.2019.106022.
- 427 [17] Hashemi A, Zarnani P, Masoudnia R, Quenneville P. Seismic resistant rocking coupled walls  
428 with innovative Resilient Slip Friction (RSF) joints. *J Constr Steel Res* 2017;129:215–26.  
429 doi:10.1016/j.jcsr.2016.11.016.
- 430 [18] Liu J, Lam F. Numerical simulation for the seismic behaviour of mid-rise CLT shear walls with  
431 coupling beams. *WCTE 2014 - World Conf Timber Eng Proc* 2014:2–3.
- 432 [19] Baird A, Smith T, Palermo A, Pampanin S. Experimental and numerical Study of U-shape  
433 Flexural Plate (UFP) dissipators. *NZSEE Conf* 2014.
- 434 [20] Latour M, Rizzano G. Cyclic behavior and modeling of a dissipative connector for cross-  
435 laminated timber panel buildings. *J Earthq Eng* 2015;19:137–71.  
436 doi:10.1080/13632469.2014.948645.
- 437 [21] Schmidt T, Blass HJ. Dissipative joints for CLT shear walls. *Int Netw Timber Eng Res* 2017.

- 438 [22] Loo WY, Kun C, Quenneville P, Chou N. Experimental testing of a rocking timber shear wall  
439 with slip-friction connectors. *Earthq Eng Struct Dyn* 2014;43:1621–39. doi:10.1002/eqe.2413.
- 440 [23] Scotta R, Marchi L, Trutalli D, Pozza L. X-bracket. A high-ductility and dissipative connection  
441 for earthquake-resistant cross-laminated timber structures. (Research Report). 2019.
- 442 [24] Scotta R, Marchi L, Trutalli D, Pozza L. A dissipative connector for CLT buildings: Concept,  
443 design and testing. *Materials (Basel)* 2016;9:139. doi:10.3390/ma9030139.
- 444 [25] Sarti F, Palermo A, Pampanin S. Quasi-Static Cyclic Testing of Two-Thirds Scale Unbonded  
445 Posttensioned Rocking Dissipative Timber Walls. *J Struct Eng* 2015;142:1–14.  
446 doi:10.1061/(ASCE)ST.1943-541X.0001291.
- 447 [26] CEN. EN 1998-1 Eurocode 8: Design of structures for earthquake resistance -Part 1: General  
448 rules, seismic actions and rules for buildings. Brussels: CEN; 2013.
- 449 [27] MIT. D.M. 17.01.18 - Aggiornamento delle «Norme tecniche per le costruzioni» (in italian)  
450 2018.
- 451 [28] Foliente GC. Wood Products: Performance in Seismic and High Wind Events. *Encycl. Mater.  
452 Sci. Technol.*, Elsevier; 2001, p. 9707–12. doi:10.1016/B0-08-043152-6/01762-9.
- 453 [29] Polastri A, Giongo I, Angeli A, Brandner R. Mechanical characterization of a pre-fabricated  
454 connection system for cross laminated timber structures in seismic regions. *Eng Struct*  
455 2018;167:705–15. doi:10.1016/j.engstruct.2017.12.022.
- 456 [30] CEN. EN 1990 - Eurocode - Basis of structural design. Brussels: CEN; 2005.
- 457 [31] Izzi M, Polastri A, Fragiaco M. Modelling the mechanical behaviour of typical wall-to-floor  
458 connection systems for cross-laminated timber structures. *Eng Struct* 2018;162:270–82.  
459 doi:10.1016/j.engstruct.2018.02.045.
- 460 [32] Computers and Structures I. SAP2000 v20 2018.
- 461 [33] Polastri A, Pozza L. Proposal for a standardized design and modeling procedure of tall CLT  
462 buildings. *Int J Qual Res* 2016;10:607–24. doi:10.18421/IJQR10.03-12.
- 463 [34] European Technical Assessment. ETA-11/0086 - Three-dimensional nailing plate (Angle  
464 brackets and hold-downs for timber-to-timber or timber-to-concrete or steel connections).  
465 Nordhavn, Danmark: 2015.
- 466 [35] European Technical Assessment. ETA-11/0496 - Three-dimensional nailing plate (Angle  
467 Bracket for timber-to-timber or timber-to-concrete or steel connections). Nordhavn, Danmark:  
468 2018.
- 469 [36] European Technical Assessment. ETA-15/0632 - Three-dimensional nailing plate. Vienna,  
470 Austria: 2015.
- 471 [37] Izzi M, Flatscher G, Fragiaco M, Schickhofer G. Experimental investigations and design  
472 provisions of steel-to-timber joints with annular-ringed shank nails for Cross-Laminated  
473 Timber structures. *Constr Build Mater* 2016;122:446–57.  
474 doi:10.1016/j.conbuildmat.2016.06.072.
- 475 [38] CEN. EN 1993-1-1 Eurocode 3: Design of steel structures - Part 1-1: General rules and rules  
476 for buildings. 2015.
- 477